

(NON-)Finiteness, CONSTRUCTIONS, AND PARTICIPLES IN LITHUANIAN

Peter Arkadiev, peterarkadiev@yandex.ru

(Institute of Slavic Studies of the Russian Academy of Sciences;

Institute of Linguistics, Russian State University for the Humanities;

Institute of Modern Linguistic Research, Sholokhov Moscow State University for the Humanities)

1. On (non-)finiteness¹

- ⌚ Indo-Europeanist tradition: a morphological distinction between verbal forms with personal endings (*verbum finitum*) and forms without such endings (*verbum infinitum*), cf. Brugmann (1892: 836–837); Meier-Brügger (2003: 184); Koptjevskaja-Tamm (1999: 146). However, already the Neogrammarians were aware of the fact that the morphological dichotomy does not exactly align with syntactic positions in which morphologically finite and non-finite verbal forms occur:

“[...] zwischen verbum finitum und verbum infinitum insofern keine scharfe Grenze zu ziehen ist, als Formen des letzteren dieselbe Function bekamen, die die Formen mit echter Personalendung hatten” (Brugmann 1892: 842).

- ⌚ In recent typological work (Kalinina 1998, Givón 2001: Ch. 18; Cristofaro 2003, 2007; Nikolaeva 2007, 2013; Creissels 2009), the notion of finiteness is usually treated as gradual and multifactorial rather than binary. It has to do with the degree of similarity of a given verbal form (or construction) to the prototypical independent action clause resp. its bearing some properties characteristic of nominals (cf. the notion of “nominalization scale”, Lehmann 1988; Malchukov 2004).
- ⌚ Those typologists, who, like Bisang (2001, 2007), maintain a binary conception of finiteness having to do with the grammaticalization of the independent status of predication, explicitly state that the notion is not universal:

“If a language has an overt morphosyntactic marker from which the human parser can derive the independent status of a grammatical structure that language makes a finite/nonfinite distinction” (Bisang 2007: 116).

- ⌚ In formal (e.g. generative) theories, (non-)finiteness is not an elementary notion, either, but is based on such independently motivated and not necessarily intercorrelated features as subject agreement, independent temporal interpretation, information-structure related left periphery, etc. (see e.g. Wurmbrand 2001; Landau 2004; Adger 2007).
- ⌚ The “canonical” approach (Corbett 2005, Brown et al. 2013):
 - independent criteria converging on the “canonical ideal” of a phenomenon;
 - logically possible deviations from the “canon” structuring the typological space;
 - both language-particular and cross-linguistic insights possible.

- ⌚ Criteria of “canonical” finiteness (Nikolaeva 2013):

morphology

- C-1: tense marking > no tense marking
- C-2: subject agreement > no subject agreement
- C-3: mood and/or illocutionary force marking > no such marking
- C-4: politeness marking > no politeness marking
- C-5: evidential marking > no evidential marking

¹ The work has been supported by the Russian Foundation of the Humanities, grant # 12-34-01345.

C-6: no switch-reference marking > switch-reference marking

C-7: nominative subject > non-nominative subject

syntax

C-8: independent clause > dependent clause

C-9: subject licensing > no subject

C-10: morphosyntactic expression of information structure > no such expression
semantics:

C-11: assertion > no assertion

C-12: independent temporal anchoring > no independent temporal anchoring

C-13: information structuring > no information structuring

⌚ In this paper, the above criteria are applied to different uses of participles in **Lithuanian**, a “classic” Indo-European language with a rich system of morphologically non-finite verbal forms.

2. Overview of Lithuanian participles

⌚ As “participles” in Lithuanian are treated verbal forms sharing morphosyntactic properties of verbs and adjectives. Traditionally, such forms are regarded as non-finite, for the reason that they do not show inflection for mood and person and instead inflect for case and gender (see Klimas 1987, Wiemer 2001, Ambrasas ed. 2006: 326–372).

⌚ Participles distinguish voice and tense, and presence vs. absence of agreement in case, gender and number. Non-agreeing participles head non-finite clauses and are traditionally called “gerunds” (see Greenberg & Lavine 2006, Arkadiev 2012, 2013 for a recent discussion of their syntactic properties).

Table 1. The paradigm of participles in Lithuanian

gerti ‘drink’	Active		Passive
	Agreeing	Non-agreeing	
Present	geriqs (m), gerianti (f)	geriant	geriamas (m), geriamā (f)
Preterite	gėrės (m), gėrusi (f)	gėrus	gertas (m), gerta (f)
Habitual Past	gerdavęs (m), gerdavusi (f)	gerdavus	—
Future	gersiqs (m), gersianti (f)	gersiant	gersimas (m), gersima (f)

Table 2. Declension of active participles vis-à-vis adjectives in Lithuanian

	present active participle ‘drinking’		adjective ‘good’	
	masculine	feminine	masculine	feminine
Sg	Nom	geriqs	gerianti	geras
	Gen	geriančio	geriančios	geros
	Dat	geriančiam	geriančiai	geram
	Acc	gerianti	geriančiąq	gerąq
	Ins	geriančiu	geriančia	geru
	Loc	geriančiame	geriančioje	gerame
Pl	Nom	geriq	geriančios	geri
	Gen	geriančių	geriančių	gerų
	Dat	geriantiems	geriančioms	geriems
	Acc	geriančius	geriančias	gerus
	Ins	geriančiais	geriančiomis	gerais
	Loc	geriančiuose	geriančiose	geruose

- ⇒ Participles in Lithuanian are very polyfunctional and in different environments show different combinations of verbal and nominal properties, i.e. elaboration vs. reduction of tense distinctions, availability and case-marking of overt subjects, presence of overt adjectival morphology and possibility to inflect for case.

3. “Finite” participles in evidential constructions

- ☞ Participles in Lithuanian can head independent clauses with evidential (reportative, inferential and admirative) meanings (Litvinow 1989; Gronemeyer 1997; Wiemer 1998, 2006a; Holvoet 2001, 2007: Ch. 4, 5).

- ⦿ Encoding of indirect evidentiality or other functions related to “reduced assertivity” (see e.g. Kalinina & Sumbatova 2007) by means of morphologically non-finite forms is common cross-linguistically (e.g. Aikhenvald 2004: 117–119) and is an areal trait of the languages of the East Baltic region (Wälchli 2000; Kehayov 2008).

- In Lithuanian, two types of evidential participial constructions have to be distinguished: “neutral” and “passive impersonal”.

3.1. Neutral evidential constructions

- participle features as the main predicate showing full tense distinctions (including the periphrastic perfect);
 - expression of verbal arguments is not affected;
 - participle agrees with its nominative subject in number, gender and case (1)–(3).

simple tenses

- (1) a. *J-is gyven-a / gyven-o / gyven-s / gyven-dav-o miest-e.*
 3-NOM.SG.M live-PRS.3 / PST.3 / FUT.3 / HAB-PST.3 town-LOC.SG
 ‘He lives / lived / will live / used to live in the town.’

b. *J-is gyven-qs / gyven-ęs / gyven-si-qs / gyven-dav-ęs miest-e.*
 3-NOM.SG.M live-PRS / PST / FUT / HAB-PST.PA.NOM.SG.M town-LOC.SG
 ‘(They say) he lives / lived / will live / used to live in the town.’

perfect

- (2) a. *Sveči-as yra kil-ęs iš kaimiečių.*
 guest-NOM.SG AUX.PRS.3 rise-PST.PA.NOM.SG.M from peasant-GEN.PL
 ‘The guest is descended from peasants.’

b. *Sveči-as es-qs kil-ęs iš kaimiečių.*
 guest-NOM.SG AUX-PRS.PA.NOM.SG.M rise-PST.PA.NOM.SG.M from peasant-GEN.PL
 ‘The guest is said to be descended from peasants.’ (Ambrazas ed. 2006: 263)

passive

- (3) a. *Per mūsūq sod-q bu-s tiesi-a-m-as keli-as.*
 through our yard-ACC.SG AUX-FUT.3 stretch-PRS-PP-NOM.SG.M road-NOM.SG
 ‘A road will be built through our yard.’

b. *Per mūsūq sod-q bu-si-qs tiesi-a-m-as keli-as.*
 through our yard-ACC.SG AUX-FUT-PA.NOM.SG.M stretch-PRS-PP-NOM.SG.M road-NOM.SG
 ‘They say that a road will be built through our yard.’ (Ambrizas ed. 2006: 264)

When a nominative-marked subject is lacking, e.g. with impersonal experiencer predicates, the participle has a special **default form** (NB distinct from the non-agreeing form):

- (4) *Jaut-ęs kalt-ę ir j-am reikej-ę iš-si-pasako-ti.*
 feel-PST.PA.NOM.SG.M guilt-ACC.SG and 3-DAT.SG.M need-PST.PA.DF PRV-RFL-tell-INF
 'He (told that he) felt guilt and needed to unburden his heart.'

Though in the standard language only indicative verb forms have evidential participial counterparts, “parasitic” use of participial morphology attached to non-indicative stems is reported for some dialects (Holvoet 2007: 88):

- (5) *Jeigu bū-či-qs žinoj-ęs, kad niek-o ne-gau-s,*
 if AUX-IRR-PA.NOM.SG.M know-PST.PA.NOM.SG.M that nothing-GEN.SG NEG-get-FUT(3)
tai ne-bū-či-qs né iš viet-os judin-ęs-is.
 then NEG-AUX-IRR-PA.NOM.SG.M even from place-GEN.SG move-PST.PA.NOM.SG.M-RFL
 ‘(He says that) if he had known he would get nothing, then he wouldn’t have stirred from the place.’

3.2. Impersonal passive evidential constructions

- the participle features as the main predicate showing reduced tense distinctions (habitual past does not form passive participles; future passive participles are very rarely used);
- the subject is marked by the genitive, the direct object (if present) by the nominative (in some varieties of Lithuanian by the accusative), without any redistribution of grammatical functions;
- the participle appears in the default form.

On the morphosyntax of these constructions see Timberlake (1982), Lavine (1999, 2006, 2010), Wiemer (2006b), Holvoet (2007: 96–104). The most typologically peculiar property of the Lithuanian evidential impersonal passive is its ability to recursively apply to the “normal” passive, cf. (8).

simple tenses

- (6) a. *J-is miest-e stat-o nam-q.*
 3-NOM.SG.M town-LOC.SG build-PRS.3 house-ACC.SG
 ‘He is building a house in the town.’
- b. *Girdėj-au, j-o miest-e nam-as stat-o-m-a.*
 hear-PST.1SG 3-GEN.SG.M town-LOC.SG house-NOM.SG bouid-PRS-PP-DF
 ‘I hear, he is building a house in the town.’ (Ambrasas ed. 2006: 281)

- (7) a. *Jonuk-as t-uos gryb-us atneš-ę.*
 Jonukas-NOM.SG DEM-ACC.PL.M mushroom-ACC.PL bring-PST.3
 ‘Jonukas has brought those mushrooms.’

- b. *Gal Jonuk-o t-ie gryb-ai atneš-t-a.*
 maybe Jonukas-GEN.SG DEM-NOM.PL.M mushroom-NOM.PL bring-PST.PP-DF
 ‘Maybe it is Jonukas who has brought those mushrooms.’ (ibid.)

passive

- (8) a. *Kuodel-is buv-o užbur-t-as.*
 tow-NOM.SG AUX-PST.3 enchant-PST.PP-NOM.SG.M
 ‘The tow was enchanted.’
- b. *...pasirod-o kuodeli-o užbur-t-o bū-t-a.*
 turn.out-PST(3) tow-GEN.SG enchant-PST.PP-GEN.SG.M AUX-PST.PP-DF
 ‘The tow turned out to have been enchanted.’²

² <http://www.pasakos.lt/sakmes-padavimai/egle-zalciu-karaliene-2/>

Table 3. Finiteness properties of Lithuanian participles in evidential constructions

criterion	“neutral” constructions	“impersonal passive” constructions	finite forms
C1 (tense marking)	full	reduced	full
C2 (subject agreement)	+ (gender, number)	-	+ (person, number)
C3 (mood marking)	- (+ in dialects)	-	+
C6 (switch-reference marking)	-	-	-
C7 (nominative subject)	+	-	+
C8 (independent clause)	+	+	+
C9 (subject licensing)	+	+	+
C12 (independent temporal anchoring)	+	+	+
nominal paradigm	reduced (NOM, DF)	fixed (DF)	-

4. Participles as heads of embedded clauses

Lithuanian participles regularly feature as heads of embedded clauses. Traditional grammar (e.g. Ambrazas ed. 2006: 353, 360) distinguishes between attributive (heads of relative clauses) and “semi-predicative” uses of participles, the latter comprising “adverbial” (heads of adverbial clauses) and “completive” (heads of complement clauses) uses.

4.1. Participles as heads of adverbial and complement clauses

Participles can head adverbial clauses whose precise interpretation (temporal, conditional, concessive etc.) is determined by the context (i.e. they are **contextual conversbs** in terms of Nedjalkov 1995: 106, 108–109), and propositional complements with verbs of speech, perception and cognition. More on the syntax of these constructions see Ambrazas (1990: 98–179), Gronemeyer & Usonienė (2001: 108–112, 116–120), Greenberg & Lavine (2006), Arkadiev (2012, 2013), Geniušienė (2014).

Table 4. Features of adverbial and complement participial constructions

		agreement	expression of subject
same-subject	complement	+	∅
	adverbial	+	∅
different-subject	complement	-	ACC
	adverbial	-	DAT

In both types of construction, the tense features of participles are interpreted relative to the tense of the matrix clause. In complement constructions, all tenses are allowed (though with predicates of direct perception normally only the present participles denoting simultaneity are found), including the periphrastic passive (13), (20), perfect (14), (21) and avertive (15).

same-subject

– present

- (9) *Kažkur-is skund-ė-si [gyven-qs praeit-imis*
 somebody-NOM.SG.M complain-PST(3)-RFL live-PRS.PA.NOM.SG.M PAST-INS.SG
ir ne-gal-is j-os atsikraty-ti].
 and NEG-can -PRS.PA.NOM.SG.M 3-GEN.SG.F get.rid.of-INF

‘Someone complained about being obsessed by the past and not being able to get rid of it.’ (LKT)

– simple past

- (10) *Janin-a Šimonien-ė sak-o-si pa-dar-ius-i kelet-q klaid-ų*
 proper.name-NOM.SG say-PRS.3-RFL PRV-do-PST.PA-NOM.SG.F several-ACC.SG error-GEN.PL
kuri-ų ne-gal-i sau atleis-ti.
 which-GEN.PL NEG-can-PRS.3 self.DAT forgive-INF
 ‘Janina Šimonienė says that she has made several errors which she cannot forgive herself.’ (LKT)

– habitual past

- (11) *Vaikin-as pasakoj-o ei-dav-ęs su išties-t-a*
 lad-NOM.SG tell-PST(3) go-HAB-PST.PA.NOM.SG.M with extend-PST.PP-INS.SG.F
rank-a ir prašy-dav-ęs pinig-ų.
 hand-INS.SG and ask-HAB-PST.PA.NOM.SG.M money-GEN.PL
 ‘The lad told that he used to go cap in hand and beg money.’ (LKT)

– future

- (12) *Tikej-au žmon-ėmis, kai j-ie žadė-dav-o*
 believe-PST.1SG people-INS.PL when 3-NOM.PL.M promise-HAB-PST(3)
[pa-dary-si-ą t-q bei an-q].
 PRV-do-FUT-PA.NOM.PL.M this-ACC.SG and that-ACC.SG
 ‘I used to believe people when they promised to do this and that.’ (LKT)

– passive

- (13) ... *Vaištar-as kaimyn-ams gyr-ė-si*
 Vaištaras-NOM.SG neighbour-DAT.PL praise-PST(3)-RFL
[buv-ęs nu-vež-t-as į Maskv-ą].
 AUX-PST.PA.NOM.SG.M PRV-bring-PST.PP-NOM.SG.M in Moscow-ACC.SG
 ‘Vaištaras boasted to his neighbours that he had been taken to Moscow.’ (LKT)

– perfect

- (14) *Skambin-u, j-i vis sak-o [es-a-nt-i užsiėm-us-i].*
 call-PRS.1SG 3-NOM.SG.F still say-PRS(3) AUX-PRS-PA-NOM.SG.F be.occupied-PST.PA-NOM.SG.F
 ‘I am calling her, but she keeps telling me that she is occupied.’ (LKT)

– avertive

- (15) *Klebon-as sak-ė ... [buv-ęs be-bėg-qs*
 dean-NOM.SG say-PST(3) AUX-PST.PA.NOM.SG.M CNT-run -PRS.PA.NOM.SG.M
tok-iam daininink-ui užčiaup-ti burn-q]...
 such-DAT.SG.M singer-DAT.SG squeeze-INF mouth-ACC.SG
 ‘The dean said that ... he almost ran to stop such a singer’s mouth...’ (LKT)

different subject

– present

- (16) *Senov-ės žemaiči-ai tikėj-ę*
 old.time-GEN.SG Samogitian-NOM.PL believe-PST.PA.NOM.PL.M
[nam-ų kerči-ose dievaiči-us gyven-a-nt].
 house-GEN.PL corner-LOC.PL deity-ACC.PL live-PRS-PA
 ‘In old times Samogitians believed that deities lived in the corners of houses.’ (LKT)

– simple past

- (17) *Tibetieči-ai ... prisimen-a [panaš-ų įvyk-į buv-us*
 Tibetan-NOM.PL remember-PRS.3 similar-ACC.PL.M event-ACC.SG be-PST.PA
prieš koki-us penkeri-us met-us].
 before what-ACC.PL.M five-ACC.PL.M year-ACC.PL
 ‘Tibetans ... recall that similar event occurred some five years ago.’ (LKT)

-habitual past

- (18) ... *skatin-a* many-ti [j-q] *dažnai bū-dav-us susierzinusi-q* ...]
 induce-PRS(3) think-INF 3-ACC.SG.F often be-HAB-PST.PA irritated-ACC.SG.F
 '[this] induces one to believe her to have often been irritated...' (Arkadiev 2012: 296)
- future

- (19) *Vis-i j-ie žadėj-o* [netrukus atei-si-ant pasauli-o pabaig-q].
 all-NOM.PL.M 3-NOM.PL.M promise-PST(3) soon come-FUT-PA world-GEN.SG end-ACC.SG
 'They all promised that the end of the world would come soon.' (LKT)

-passive

- (20) ...*ir dat-a rod-o* [j-i buv-us perraš-o-m-q].
 and date-NOM.SG show-PRS 3-ACC.SG.M AUX-PST.PA rewrite-PRS-PP-ACC.SG
 '... and the date shows that it was being rewritten.' (LKT)

-perfect

- (21) ...*š-is mieg-as liudij-a* [j-q es-a-nt mir-us-iq].
 DEM-NOM.SG.M dream-NOM.SG testify-PRS(3) 3-ACC.SG.F AUX-PRS-PA die-PST.PA-ACC.SG.F
 'this dream testifies that she has died.' (LKT)

⌚ In adverbial constructions, only present (simultaneity) and simple past (anteriority) participles are found, though future participles are allowed in clauses introduced by the preposition/complementizer *prieš* 'before' (26). Notably, in same-subject clauses denoting simultaneity instead of agreeing present active participles special converb forms (the so-called "half participles") in *-dam* are used (22).

same-subject

-present: *-dam*-converb

- (22) *Persireng-dam-a j-i pa-si-žiūr-i i veidrod-i.*
 change.clothes-CNV-SG.F 3-NOM.SG.F PRV-RFL-look-PRS(3) in mirror-ACC.SG
 'While changing clothes she looks at herself in the mirror.' (LKT)

-simple past

- (23) *Ein-u gal i vien-q premjer-q per met-us,*
 go-PRS.1SG maybe in one-ACC.SG theatre.opening-ACC.SG through year-ACC.PL
 [*persireng-us-i kit-u žmog-umi*].
 change.clothes-PST.PA-NOM.SG.F other-INS.SG.M man-INS.SG
 'I visit just one theatre opening per year dressed as a different person.' (LKT)

different-subject

-present

- (24) [*Man su Povil-u lank-a-nt Baltarusij-os lietuvi-us*, ne kart-q
 I:DAT with Paul-INS.SG visit-PRS-PA Belorussia-GEN.SG Lithuanian-ACC.PL not time-ACC.SG
siūly-t-a iš-ger-ti svaigal-ų.
 offer-PST.PP-DF PRV-drink-INF spirits-GEN.PL
 'When together with Paul I was visiting Belorussian Lithuanians, they many times offered us to drink spirits.' (LKT)

-simple past

- (25) [*Vyr-ui parėj-us*, *Iren-a sėdėj-o virtuv-ėje...*
 husband-DAT.SG come.home-PST.PA Irena-NOM.SG sit-PST(3) kitchen-LOC.SG
 'When her husband came home, Irena was sitting in the kitchen...' (LKT)

– future

- (26) a. *[*Saul-ei nusileisi-ant*], *atsigul-é-me*.
 sun-DAT.SG descend + FUT-PA lie.down-PST-1PL
 ‘We went to bed before the sun set.’
- b. [*Prieš pat uždang-ai nusileisi-ant*], *su-jund-a grab-as*.
 before INTF curtain-DAT.SG descend + FUT-PA PRV-move-PRS(3) coffin-NOM.SG
 ‘Before the curtain falls, the coffin moves.’³

4.2. Participles as heads of relative clauses

- distinguish synthetic tenses and voice;
- fully agree with their nominal heads in gender, number and case;
- no overt subject; with passive participles, the original agent in the genitive.

active voice

– present

- (27) *Atsigrēž-ęs mat-au [greit artej-a-nt-i] eržil-q.*
 turn.back-PST.PA.NOM.SG.M see-PRS.1SG quickly approach-PRS-PA-ACC.SG.M stallion-ACC.SG
 ‘Turning back, I see a quickly approaching stallion.’ (LKT)

– simple past

- (28) [*Iš mokykl-os parėj-us-io*] *vaik-o skub-a-me*
 from school-GEN.SG come.home-PST.PA-GEN.SG.M child-GEN.SG hurry-PRS-1PL
 pa-klaus-ti apie pažymi-us...
 PRV-ask-INF about mark-ACC.PL
 ‘We hurry to ask the child who has come back from school about marks...’ (LKT)

– past habitual

- (29) *Už-si-rakin-dav-us-iai kambar-y Edit-ai po to tek-dav-o atkentė-ti.*
 PRV-RFL-lock-HAB-PST.PA-DAT.SG.F room-LOC.SG Edita-DAT.SG after.that get-HAB-PST.3 suffer-INF
 ‘Edita, who used to lock herself in the room, would have to suffer afterwards.’ (LKT)

– future

- (30) ...*geriau po truputį rūpin-ki-mė-s t-ais, kur-ie*
 better a.little take.care-IMP-2PL-RFL DEM-INS.PL.M which-NOM.PL.M
 ne-su-lauk-s [po 40 met-ų atei-si-anči-o] pagerejim-o.
 NEG-PRV-wait-FUT(3) after 40 year-GEN.PL come-FUT-PA-GEN.SG.M improvement-GEN.SG
 ‘... let’s better take care of those who won’t live till the improvement expected to
 come 40 years later.’ (LKT)

passive voice

– present

- (31) *Mégėj-ų komand-os, ne-turė-dam-os kur žais-ti, norai Dalyvav-o*
 amateur-GEN.PL team-NOM.PL NEG-have-CNV-PL.F where play-INF willingly participate-PST.3
 [*mūs-ų rengi-a-m-uose*] *turnyr-uose.*
 we-GEN arrange-PRS-PP-LOC.PL.M tournament-LOC.PL
 ‘Amateur teams, having no places where they could play [basketball], willingly participated in the tournaments we were organizing.’ (LKT)

– past

- (32) ...[*valdov-o aistr-os apakin-t-am*] *Erod-ui ne-reiki-a*
 ruler-GEN.SG passion-GEN.SG blind-PST.PP-DAT.SG.M Herod-DAT.SG NEG-need-PRS(3)

³ <http://www.antologija.lt/texts/38/tekstas/10.html>

joki-o *Diev-o...*
 no-GEN.SG.M god-GEN.SG

'... Herod, blinded by the passion of power, does not need any god...' (LKT)
 -future

- (33) ...*kaip tur-i laiky-ti-s svarsty-si-m-u reikal-u atžvilgi-u.*
 how have-PRS(3) hold-INF-RFL discuss-FUT-PP-GEN.PL issue-GEN.PL respect-INS.SG
 '... how they have to conduct themselves with respect to the issues which will be discussed.' (LKT)

Table 5. Finiteness properties of participles as heads of subordinate clauses

criterion	complement		adverbial		attributive	finite forms
	same-subject	different-subject	same-subject	different-subject		
C1 (tense marking)	full		reduced		reduced	full
C2 (subject agreement)	gender, number	-	gender, number	-	n/a	person, number
C3 (mood marking)			-			+
C6 (switch-reference marking)			+		n/a	-
C7 (nomina-tive subject)	(+)	- (ACC)	(+)	- (DAT)	-	+
C8 (in-de-pen-dent clause)			-			+
C9 (subject licensing)	-	+	-	+	-	+
C12 (in-de-pen-dent tem-poral an-choring)			-			+
nominal paradigm	reduced	-	reduced	-	full	-

5. Participles in periphrastic verbal forms

>Main periphrastic verbal forms in Lithuanian are formed with the auxiliary *būti* 'be' and participial forms of the lexical verb:

Table 6. Periphrastic verbal forms in Lithuanian

	form of the auxiliary	form of the participle
perfect/resultative	any	active PST
passive	any	passive PRS or PST
avertive	mainly PST	<i>be-</i> + active PRS

On the morphosyntax and semantics of these forms see Geniušienė (1976, 2006), Wiemer (2004) on the passive, Sližienė (1969), Geniušienė, Nedjalkov (1988) on the perfect/resultative and Sližienė (1961), Arkadiev (2011) on the avertive.

• The participle fully retains the argument structure of the verb and agrees with the subject in gender, number and case if the verb subcategorizes for a gendered nominative subject (34), and appears in the default form otherwise (35), (38). The case marking of the subject and of the participle depends on the finiteness of the auxiliary: with a finite auxiliary (including the evidential participle) nominative case is used, with non-finite auxiliaries accusative, dative, or genitive (38)–(40) are used.

perfect/resultative

- (34) *Kelet-q kart-u j-is yra atej-es pas man-e*
 several-ACC.SG time-GEN.PL 3-NOM.SG.M AUX.PRS.3 come-PST.PA.NOM.SG.M at I-ACC
i vienut-e...
 in solitary.cell-ACC.SG

‘Several times he has come to visit me in my solitary cell...’ (LKT)

passive

–with the present participle (imperfective)

- (35) *Ar j-is iš-si-aiškin-a, k-as bu-s stat-o-m-a*
 Q 3-NOM.SG.M PRV-RFL-explain-PRS(3) what-NOM.SG AUX-FUT(3) build-PRS-PP-DF
j-o teritorij-oje?
 3-GEN.SG.M territory-LOC.SG

‘Does he explain what will be being built at its territory?’ (LKT)

–with the past participle (perfective)

- (36) *Diev-o viet-oje buv-o pastaty-t-as žmog-us...*
 god-GEN.SG place-LOC.SG AUX-PST(3) put-PST.PP-NOM.SG.M man-NOM.SG
 ‘Man was put into God’s place...’ (LKT)

avertive

- (37) *Jau buv-au be-atidar-qs automobili-o dur-is,*
 already AUX-PST.1SG CNT-open-PRS.PA.NOM.SG.M car-GEN.SG door-ACC.PL
kai man-e pa-šauk-ė.
 when I-ACC PRV-call-PST(3)

‘I was about to open the car’s door when someone called me.’ (LKT)

agreement with non-nominative subjects

–genitive in impersonal evidential constructions

- (38) *Kulk-os bū-t-a išej-us-ios kiaurai.*
 bullet-GEN.SG AUX-PST.PP-DF exit-PST.PA-GEN.SG.F through
 ‘The bullet must have gone through.’ (LKT)

–accusative in participial complements

- (39) *istorini-ai šaltini-ai liudij-a [pirmqkart Mozart-o oper-a*
 historical-NOM.PL.M source-NOM.PL testify-PRS.3 first.time Mozart-GEN.SG opera-ACC.SG
"Užburtoji fleita" Lietuv-oje buv-us pa-rody-t-a 1802–1805 met-ais].
 “The magic.flute” Lithuania-LOC.SG AUX-PST.PA PRV-show-PST.PP-ACC.SG 1802–1805 year-INS.PL
 ‘Historical sources testify that Mozart’s “The magic flute” was for the first time staged in Lithuania in 1802–1805.’ (LKT)

–dative in infinitival clauses

- (40) ... *visada reiki-a [Ø_{DAT} bū-ti pasireng-us-iam prasmeg-ti].*
 always need-PRS.3 be-INF prepare-PST.PA-DAT.SG.M fail-INF
 ‘One always needs to be prepared to fail.’ (LKT)

Table 7. Finiteness properties of participles in periphrastic constructions

criterion	perfect/resultative	passive	avertive	finite forms
C1 (tense marking)	fixed (PST)	reduced (PRS, PST)	fixed (PRS)	+
C2 (subject agreement)	+ (gender, number, case)			+ person, number
C3 (mood marking)	-			+
C6 (switch-reference marking)	n/a			-
C7 (nominative subject)	+			+
C8 (independent clause)	-			+
C9 (subject licensing)	+			+
C12 (independent temporal anchoring)	-			+
nominal paradigm	reduced			-

6. Summary and conclusions

Table 8. Finiteness properties of participles across different constructions

construction		tense paradigm	subject marking	subject agreement	nominal paradigm
finite forms		full	NOM	+	-
evidential constructions	neutral	full	NOM	+	reduced
	impersonal passive	reduced	GEN	-	reduced
complement constructions	same-subject	full	Ø	+	reduced
	different-subject		ACC	-	-
adverbial constructions	same-subject	reduced	Ø	+	reduced
	different-subject		DAT	-	-
attributive constructions		full (synthetic only)	Ø	n/a	full
periphrastic constructions	perfect/resultative	fixed (PST)	NOM	+	reduced
	passive	reduced (PRS, PST)			
	avertive	fixed (PRS)			

➲ Lithuanian participles defined as a morphological class (cf. the notion of **morphome**, Aronoff 1994, Round 2011) cannot be treated as unequivocally non-finite: in their different uses, they show considerably varying “balance” of verbal vs. nominal features, ranging from virtually fully “finite” behaviour in evidential constructions to fairly high degree of “non-finiteness” in attributive constructions (let alone numerous cases of lexicalization when participles turn into adjectives and nouns concomitantly losing all verbal properties).

Therefore, as argued in typological literature, finiteness is not a binary but a scalar parameter comprising many different features, and this scalarity of (non-)finiteness is manifested not only in cross-linguistic comparison, but also in the behaviour of a single formal class in a particular language.

Moreover, in Lithuanian the degree of reduction of verbal features does not correlate with the degree of elaboration of nominal or adjectival features, and vice versa, e.g. attributive participles distinguish the full arrays of both synthetic tenses and adjectival agreement, while participles in periphrastic constructions are restricted with respect to both of the above.

Rather, morphosyntactic features of participles (or infinitives, verbal nouns etc.) are determined by **constructions** in which they occur, and it is these constructions, rather than verbal forms themselves, which should be regarded as (non-)finite (cf. Creissels 2009), and the very notion of (non-)finiteness is probably to be regarded as epiphenomenal.

Abbreviations

ACC — accusative; AUX — auxiliary verb; CNT — continuative; CNV — converb; DAT — dative; DEM — demonstrative; DF — default form; F — feminine; FUT — future; GEN — genitive; HAB — habitual; IMP — imperative; INF — infinitive; INS — instrumental; INTF — intensifier; IRR — irrealis; LOC — locative; M — masculine; NEG — negation; NOM — nominative; PA — active participle; PL — plural; PP — passive participle; PRS — present; PRV — preverb; PST — past; Q — question particle; RFL — reflexive; SG — singular.

References

- Adger D. 2007. Three domains of finiteness: a Minimalist perspective. In: Nikolaeva (ed.) 2007: 23–58.
- Aikhenvald A.Yu. 2004. *Evidentiality*. Oxford: Oxford University Press.
- Ambrasas V. 1990. *Sravnitel'nyj sintaksis pričastij baltijskix jazykov*. [Comparative syntax of participles in Baltic Languages]. Vilnius: Mokslas.
- Ambrasas V. (ed.) 2006. *Lithuanian Grammar*. Vilnius: Baltos Lankos.
- Arkadiev P. 2011. On the aspectual uses of the prefix *be-* in Lithuanian. *Baltic Linguistics* 2, 37–78.
- Arkadiev P. 2012. Participial complementation in Lithuanian. In: V. Gast & H. Diessel (eds.), *Clause Linkage in Cross-Linguistic Perspective: Data-Driven Approaches to Cross-Clausal Syntax*. Berlin, New York: Mouton de Gruyter, 285–334.
- Arkadiev P. 2013. Marking of subjects and objects in Lithuanian non-finite clauses: A typological and diachronic perspective. *Linguistic Typology* 17:3, 397–437.
- Aronoff M. 1994. *Morphology by Itself. Stems and Inflectional Classes*. Cambridge (MA): MIT Press.
- Bisang W. 2001. Finite vs. non-finite languages. In: M. Haspelmath, E. König, W. Oesterreicher, W. Raible (eds.), *Language Typology and Language Universals. An International Handbook*, Vol. 2. Berlin: Mouton de Gruyter, 1400–1413.
- Bisang W. 2007. Categories that make finiteness: discreetness from a functional perspective and some of its repercussions. In: Nikolaeva (ed.) 2007: 115–137.
- Brown D., M. Chumakina & G.G. Corbett (eds.) 2006. *Canonical Morphology and Syntax*. Oxford: Oxford University Press.
- Brugmann K. 1892. *Grundriss der vergleichenden Grammatik der Indogermanischen Sprachen. II. Bd. Wortbildungsslehre (Stammbildungs- und Flexionslehre)*. 2 Hälfte. Strassburg: Karl J. Trübner.
- Corbett G.G. 2005. The canonical approach to typology. In: Z. Frajzyngier, A. Hodges, D.S. Rood (eds.), *Linguistic Diversity and Language Theories*. Amsterdam, Philadelphia: John Benjamins, 25–49.
- Creissels D. 2009. Participles and finiteness: the case of Akhvakh. *Linguistic Discovery* 7:1, 106–130.
- Cristofaro S. 2003. *Subordination*. Oxford: Oxford University Press.
- Cristofaro S. 2007. Deconstructing categories: Finiteness in a functional-typological perspective. In: Nikolaeva (ed.) 2007: 91–114.
- Geniušienė E. 1976. Das Passiv des Litauischen und seine Verwendung. In R. Ružička & R. Lötzsch (Hrsg.), *Satzstruktur und Genus Verbi*. Berlin: Akademie-Verlag, 139–152.
- Geniušienė E. 2006. Passives in Lithuanian (in comparison with Russian). In: W. Abraham & L. Leisiö (eds.), *Passivization and Typology. Form and Function*. Amsterdam, Philadelphia: John Benjamins, 29–61.
- Geniušienė E. 2014. *Konverby v litovskom jazyke* [Converbs in Lithuanian]. In: Sergej Dmitrenko & Natalia Zaika (eds.), *Studia typologica octogenario Victori Khrakovskii Samuelis filio dedicata (Acta Linguistica Petropolitana X/3)*. Saint-Petersburg: Nauka, 156–179.

- Geniušienė E. & Nedjalkov V.P. 1988. Resultative, passive, and perfect in Lithuanian. In: V.P. Nedjalkov (ed.), *Typology of Resultative Constructions*. Amsterdam, Philadelphia: John Benjamins, 369–386.
- Givón T. 2001. *Syntax. An Introduction*. Vol. 2. Rev. ed. Amsterdam, Philadelphia: John Benjamins.
- Greenberg G. & J.E. Lavine. 2006. New syntax in Russian and Lithuanian: The case of the adverbial participle. In: R. Rothstein, E. Scatton & Ch. Townsend (eds.), *Studies in Slavic Linguistics and Folklore*. Bloomington (IN): Slavica, 143–170.
- Gronemeyer C. 1997. Evidentiality in Lithuanian. *Lund University Dept. of Linguistics Working Papers* 46, 93–112.
- Gronemeyer C. & A. Usonienė. 2001. Complementation in Lithuanian. In: C. Gronemeyer. *Laying the Boundaries of Syntax: Studies in the Interfaces between Syntax, Semantics and Lexicon*. Lund University, 105–135.
- Holvoet A. 2001. On the paradigm of the oblique mood in Lithuanian and Latvian. *Linguistica Baltica* 9, 69–86.
- Holvoet A. (2007). *Mood and Modality in Baltic*. Kraków: Wydawnictwo Uniwersytetu Jagiellońskiego.
- Kalinina E.Ju. 1998. Razgraničenie finitnyx i nefinitnyx form glagola v tipologičeskom aspekte [The distinction between finite vs. non-finite verbal forms in a typological perspective]. *Voprosy jazykoznanija* 4, 82–110.
- Kalinina E.Ju. & N.R. Sumbatova. 2007. Clause structure and verbal forms in Nakh-Daghestanian languages. In: Nikolaeva (ed.) 2007: 183–249.
- Kehayov P. 2008. *An Areal-Typological Perspective to Evidentiality: The Cases of the Balkan and Baltic Linguistic Areas*. (Dissertationes Linguisticae Universitatis Tartuensis 10.) Tartu: Tartu University Press.
- Klimas A. 1987. The Lithuanian participles: Their system and functions. *Lituanus* 33, 38–73.
- Koptjevskaia-Tamm M. 1999. Finiteness. In: K. Brown, J. Miller (eds.), *Concise Encyclopedia of Grammatical Categories*. Amsterdam: Elsevier, 146–149.
- Landau I. 2004. The scale of finiteness and the calculus of control. *Natural Language and Linguistic Theory* 22:4, 811–877.
- Lavine J.E. 1999. Subject properties and ergativity in North Russian and Lithuanian. In: K. Dziwirek et al. (eds.), *Formal Approaches to Slavic Linguistics* 7. Ann Arbor: Michigan Slavic Publications, 307–328.
- Lavine J.E. 2006. Is there a passive evidential strategy in Lithuanian? In: *Papers from the 42nd Regional Meeting of the Chicago Linguistic Society*, 41–55.
- Lavine J.E. 2010. Mood and a transitivity restriction in Lithuanian: The case of the inferential evidential. *Baltic Linguistics* 1, 115–142.
- Lehmann Chr. 1988. Towards a typology of clause linkage. In: J. Haiman & S.A. Thompson (eds.), *Clause Combining in Grammar and Discourse*. Amsterdam, Philadelphia: John Benjamins, 181–226.
- Litvinow V.P. 1989. Der modus relativus baltischer Sprachen aus typologischer Sicht. *Baltistica* 35:2, 146–154.
- LKT – Corpus of Modern Lithuanian, <http://tekstynas.vdu.lt/tekstynas/>
- Malchukov A. 2004. *Nominalization/Verbalization: Constraining a Typology of Transcategorial Operations*. München: LINCOM Europa.
- Meier-Brügger M. 2003. *Indo-European Linguistics*. Berlin, New York: Walter de Gruyter.
- Nedjalkov V.P. 1995. Some typological parameters of conversbs. In: M. Haspelmath & E. König (eds.), *Converbs in Cross-Linguistic Perspective*. Berlin, New York: Mouton de Gruyter, 97–136.
- Nikolaeva I. 2007. Introduction. In: Nikolaeva (ed.) 2007: 1–19.
- Nikolaeva I. (ed.) (2007). *Finiteness: Theoretical and Empirical Foundations*. Oxford: Oxford University Press.
- Nikolaeva I. 2013 Unpacking finiteness. In: Brown et al. (eds.) 2013: 99–122.
- Round E.R. 2011. Morphemes as a level of representation capture unity of exponence across the inflection-derivation divide. *Linguistica* 51, 217–230.
- Sližienė N. 1961. Apie sudurtines pradėties veiksmažodžių formas. *Lietuvių kalbotyros klausimai* 4, 67–72.
- Sližienė N. 1969. Sudurtinių atlktinių veiksmažodžio laikų reikšmės ir vartojimas. *Lietuvių kalbotyros klausimai* 11, 17–40.
- Timberlake A. 1982. The impersonal passive in Lithuanian. *Proceedings of the 8th Annual Meeting of the Berkeley Linguistics Society*, 508–524.
- Wälchli B. 2000. Infinite predication as a marker of evidentiality and modality in the languages of the Baltic region. *Sprachtypologie und Universalienforschung* 53, 186–210.
- Wiemer B. 1998. Pragmatical inferences at the threshold to grammaticalization. The case of Lithuanian predicative participles and their functions. *Linguistica Baltica* 7, 229–243.
- Wiemer B. 2001. Partizipien zwischen Syntax, Semantik und Pragmatik: Ein Überblick zu aspektuellen, dialethesebezogenen und diskursrelevanten Eigenschaften im modernen Lituvischen. In: B. Wälchli & F. Zúñiga (Hrsg.), *Sprachbeschreibung und Typologie*. Bern: Universität Bern, 65–81.
- Wiemer B. 2006a. Grammatical evidentiality in Lithuanian (A typological assessment). *Baltistica* 41:1, 33–49.
- Wiemer B. 2006b. Relations between Actor-demoting devices in Lithuanian. In: W. Abraham & L. Leisiö (eds.), *Passivization and Typology. Form and Function*. Amsterdam, Philadelphia: John Benjamins, 274–309.
- Wurmbrand S. 2001. *Infinitives. Restructuring and Clause Structure*. Berlin, New York: Mouton de Gruyter.